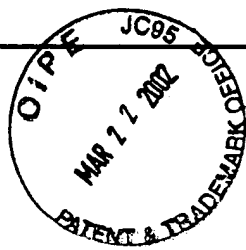


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
09469.010001In Re Application Of: **Chui-Shan Teresa LAM et al.**Serial No.
10/037,153Filing Date
December 21, 2001

Examiner

Group Art Unit
2161Title: **METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM**Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Docket No.
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2161

Title: **METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM**



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on March 13, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Lisa H. Smith

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*This certificate may only be used if paying by deposit account.

Richard A. Fajirino 39182 Dated: 3/13/02
Signature for

Jonathan P. Osha, Reg. No. 33,986
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Tel: (713) 228-8600
Fax: (713) 228-8778

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09469.010001

10/037,153

Chui-Shan Teresa LAM et al.

12/21/2001

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		A1	nCipher Corporation Ltd.; "Secure Execution Engine (S.E.E.) White Paper"; Copyright 2001, nCipher Corporation Limited; 12 pages
		A2	nCipher Inc.; "Strengthen and Manage the Security of Your Public Key Infrastructure with nCipher"; Copyright 2001, nCipher Inc.; 8 pages

DATE CONSIDERED

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